The Compliance Control Tower

Oonagh van den Berg | Book introduction

Treats management information as the operating system of compliance. Covers signal versus noise, leading and lagging indicators, dashboards, MI ownership, early warnings, board reporting and regulatory evidence. Diagnostics help readers test whether reporting reveals risk or creates false comfort.

Who it is for

MLROs, compliance leaders, MI teams, risk teams and board members.

Contents at a glance

• Why frameworks fly blind: silent failures, the purpose of MI and the consequences of wrong information.

• Signals inside the machine: signal versus noise, leading versus lagging indicators and false comfort.

• Building the control tower: risk-revealing MI, dashboards, metrics and ownership.

• Reading warning lights: diagnosis and early warning signals.

• From reporting to intelligence: investment, board governance, AI and regulatory evidence.

How it helps in practice

Review whether your reporting reveals risk early enough to act. Use the diagnostics to test dashboard design, board packs, ownership and the connection between information and decisions.

Practical material

MI maturity self-assessment, board-pack audit, signal diagnostic, dashboard checklist and AI governance MI audit.

View the book on Amazon

MODERNISING COMPLIANCE

THE COMPLIANCE CONTROL TOWER

MANAGEMENT INTELLIGENCE: UNDERSTANDING
THE SIGNALS INSIDE YOUR COMPLIANCE FRAMEWORK

THE PROBLEM

Most compliance failures were visible in the data long before they became visible in enforcement.

SIGNALS ARE MISSED
Critical risks are hidden in noise and complexity.

DECISIONS ARE WEAKENED
Leadership acts on reports, not on real intelligence.

FALSE CONFIDENCE GROWS
Dashboards create comfort, not clarity.

RISK ACCUMULATES SILENTLY
By the time issues are visible, it is often too late.



PART OF THE MODERNISING COMPLIANCE SERIES

THE SOLUTION

Build a Compliance Control Tower that detects, interprets and escalates what truly matters.

SEE WHAT MATTERS
Identify the signals that reveal risk before it becomes a crisis.

MAKE BETTER DECISIONS
Transform data into meaningful intelligence that drives action.

STRENGTHEN ACCOUNTABILITY
Build a framework that supports challenge, oversight and trust.

STAY AHEAD OF RISK
Detect emerging issues, track trends and act early.

THIS BOOK SHOWS YOU HOW TO TURN MANAGEMENT INFORMATION
INTO A STRATEGIC ADVANTAGE.

INSIDE THIS BOOK YOU WILL DISCOVER HOW TO:

SEE THE SIGNALS
Understand the signals inside your compliance framework and what they are trying to tell you.

FILTER THE NOISE
Separate noise from meaning, identify what matters, and ignore what doesn't.

DESIGN MEANINGFUL MI
Build metrics, indicators, and dashboards that drive insight—not activity.

ESCALATE EARLY
Implement escalation triggers and thresholds that ensure timely and effective action.

STRENGTHEN OVERSIGHT
Empower leadership and boards with clarity, challenge and evidence of control effectiveness.

PREPARE FOR THE FUTURE
Understand how strong MI foundations are critical for AI-enabled compliance and automation.

WHO THIS BOOK IS FOR

- Boards & Non-Executive Directors
- Chief Risk, Compliance & AML Officers
- MLROs & Financial Crime Leaders
- Internal Audit & Model Risk Teams
- Regulators & Supervisors
- Chief AI Officers & Transformation Leaders

> MANAGEMENT INFORMATION IS NOT ADMINISTRATION. IT IS HOW YOUR COMPLIANCE FRAMEWORK LEARNS ABOUT ITSELF.

HOW IT HELPS INSTITUTIONS

- Stronger decision-making
- Earlier risk detection
- Reduced regulatory and enforcement risk
- More effective use of resources
- Better governance and accountability
- Greater trust from regulators and stakeholders

CLARITY TODAY. ACTION TOMORROW. CONFIDENCE ALWAYS.

EVIDENCE OVER ASSUMPTION | INSIGHT OVER INFORMATION | JUDGEMENT OVER COMFORT | ACCOUNTABILITY OVER APPEARANCE | TRUST THROUGH TRANSPARENCY